



Office of the Superintendent of Pensions

Superintendent's List for Locked-In Retirement Account (LIRA)

Sorted by Financial Institution

Note: Financial Institution authorized to sell respective contracts under the Pension Benefits Act, S.N.B. 1987 as of the report date, 2011/10/24

Institution	Broker	Contract Name	NB Number	CCRA Number
AGF Trust Company	AGF Investments Inc.	- AGF Group of Funds Retirement Savings Plan	NBC0022	RSP 403-002
		- Harmony Retirement Savings Plan	NBC0199	RSP 403-010
AGF Trust Company	PFSL Investments Canada Ltd.	- Primerica Concert Retirement Savings Plan	NBC0195	RSP 403-009
Assumption Mutual Life Insurance Company	Assumption Mutual Life Insurance Company	- Assumption Life locked-in retirement account	NBC0526	RSP 110-009
B2B Trust	B2B Trust	- B2B Trust Retirement Savings Plan	NBC0326	RSP 307-001
BMO Trust Company	BMO Investments Inc.	- BMO Mutual Funds Retirement Savings Plan	NBC0093	RSP 527-002
BMO Trust Company	BMO Nesbitt Burns Inc.	- BMO Nesbitt Burns Retirement Savings Plan	NBC0051	RSP 527-010
BMO Trust Company	BMO Trust Company	- BMO Group Retirement Services Group RSP	NBC0569	RSP 527-047
		- BMO GRS Group Retirement Savings Plan (Advisor Offer)	NBC0573	RSP 527-048
		- BMO InvestorLine Group RSP	NBC0367	RSP 527-043
		- BMO Investorline Retirement Savings Plan	NBC0392	RSP 527-009
		- BMO Mutual Funds Group Retirement Savings Plan	NBC0094	RSP 527-003
		- BMO Nesbitt Burns Group Retirement Savings Plan	NBC0366	RSP 527-011
		- The Trust Company of Bank of Montreal Retirement Savings Plan	NBC0308	RSP 527-014
BMO Trust Company	Guardian Group of Funds Ltd.	- BMO Retirement Savings Plan	NBC0390	RSP 527-006
		- Guardian Group of Funds Ltd. Self-Directed Retirement Savings Plan	NBC0207	RSP 527-016



Office of the Superintendent of Pensions

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Institution	Broker	Contract Name	NB Number	CCRA Number
BMO Trust Company	The Bank of Montreal	- Advisor's Advantage Trust Retirement Savings Plan	NBC0391	RSP 527-044
Canadian Imperial Bank of Commerce	Canadian Imperial Bank of Commerce	- CIBC Deposit LIRA	NBC0017	RSP 338-005
Canadian Western Bank	Canadian Western Bank	- Branch Guaranteed RSP	NBC0474	RSP 387-001
		- Deposit Broker Guaranteed RSP	NBC0473	RSP 387-006
Canadian Western Trust	ATB Securities Inc.	- ATB Securities Inc. Self-Directed RSP	NBC0547	RSP 418-037
Canadian Western Trust	Canadian Western Trust	- Canadian Western Trust Company Guaranteed RSP	NBC0376	RSP 418-003
		- Canadian Western Trust Company Self-Directed RSP	NBC0375	RSP 418-001
Canadian Western Trust	Odlum Brown Limited	- Odlum Brown Limited Self-Directed Retirement Savings Plan	NBC0546	RSP 418-025
Canadian Western Trust	Qtrade Asset Management Inc.	- Qtrade Asset Management Inc. Self-Directed Retirement Savings Plan	NBC0419	RSP 418-041
Canadian Western Trust	Qtrade Investor Inc.	- Qtrade Investor Inc. Self-Directed Retirement Savings Plan	NBC0420	RSP 418-040
Canadian Western Trust	Raymond James Ltd.	- Raymond James Ltd. Self-Directed Retirement Savings Plan	NBC0548	RSP 418-058
Central 1 Credit Union	Central 1 Credit Union	- B.C. Central Credit Union Self-Directed Retirement Savings Plan	NBC0144	RSP 115-006



Office of the Superintendent of Pensions

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Institution	Broker	Contract Name	NB Number	CCRA Number
Central 1 Credit Union				
	Central 1 Credit Union			
	- B.C. Central Retirement Savings Plan		NBC0142	RSP 115-001
CIBC Mellon Trust Company				
	CIBC Mellon Trust Company			
	- CIBC Mellon - General Retirement Savings Plan		NBC0273	RSP 524-016
	- Manulife Financial Group Retirement Savings Plan		NBC0534	RSP 524-029
	- Manulife Financial Group Retirement Savings Share Ownership Plan		NBC0407	RSP 524-026
CIBC Mellon Trust Company				
	Integra Capital Management Corporation			
	- Integra Retirement Savings Plan		NBC0264	RSP 524-014
CIBC Trust Corporation				
	Canadian Imperial Bank of Commerce			
	- President's Choice Financial Retirement Savings Plan		NBC0265	RSP 322-025
CIBC Trust Corporation				
	CIBC Asset Management Inc.			
	- Renaissance Investments Retirement Savings Plan		NBC0394	RSP 322-030
CIBC Trust Corporation				
	CIBC Investor Services Inc.			
	- CIBC Investor Services Inc. Self-Directed Retirement Savings Plan		NBC0182	RSP 322-012
CIBC Trust Corporation				
	CIBC Securities Inc.			
	- CIBC Group RSP		NBC0156	RSP 322-015
	- CIBC Mutual Funds Retirement Savings Plan		NBC0041	RSP 322-017
CIBC Trust Corporation				
	CIBC Trust Corporation			
	- CIBC Trust Personal Portfolio Services Retirement Savings Plan		NBC0254	RSP 322-018
	- CIBC Trust Self-Directed Retirement Savings Plan		NBC0148	RSP 322-003
CIBC Trust Corporation				
	CIBC World Markets Inc.			
	- CIBC Wood Gundy Self-Directed Retirement Savings Plan		NBC0043	RSP 322-010



Office of the Superintendent of Pensions

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Institution	Broker	Contract Name	NB Number	CCRA Number
Coastal Community Credit Union				
		Coastal Community Credit Union		
		- Coastal Community Credit Union RSP	NBC0559	RSP 436-001
Computershare Trust Company of Canada				
		Canaccord Genuity Corp.		
		- Canaccord Genuity Corp. Self-Directed Retirement Savings Plan	NBC0351	RSP 574-503
Computershare Trust Company of Canada				
		Credential Securities Inc.		
		- Credential Securities Inc. Self-Directed Retirement Savings Plan	NBC0503	RSP 574-572
Computershare Trust Company of Canada				
		Union Securities Ltd.		
		- Union Securities Limited Retirement Savings Plan	NBC0355	RSP 574-272
Computershare Trust Company of Canada (Toronto)				
		Caldwell Securities Ltd.		
		- Caldwell Securities Ltd. Retirement Savings Plan	NBC0329	RSP 574-244
Computershare Trust Company of Canada (Toronto)				
		Edward Jones		
		- Edward Jones Self-Directed Retirement Savings Plan	NBC0539	RSP 574-599
Computershare Trust Company of Canada (Toronto)				
		GMP Securities L.P.		
		- GMP Securities L.P. Self-Directed Retirement Savings Plan	NBC0435	RSP 574-470
Computershare Trust Company of Canada (Toronto)				
		Macquarie Private Wealth Inc.		
		- Macquarie Private Wealth Inc. Self-Directed Retirement Savings Plan	NBC0369	RSP 574-512
Computershare Trust Company of Canada (Toronto)				
		Open Access Limited		
		- Open Access Limited Individual Retirement Savings Plan	NBC0380	RSP 574-527
Computershare Trust Company of Canada (Toronto)				
		Penson Financial Services Canada Inc.		
		- Penson Financial Services Canada Inc. Retirement Savings Plan	NBC0484	RSP 574-529



Office of the Superintendent of Pensions

Superintendent's List for Locked-In Retirement Account (LIRA)

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Institution	Broker	Contract Name	NB Number	CCRA Number
Computershare Trust Company of Canada (Toronto)				
	Questrade Inc.			
	- Questrade Inc. Self-Directed Retirement Savings Plan		NBC0499	RSP 574-571
Computershare Trust Company of Canada (Toronto)				
	Sprott Asset Management Inc.			
	- Sprott Asset Management L.P. Retirement Savings Plan		NBC0557	RSP 574-606
Computershare Trust Company of Canada (Toronto)				
	Sprott Private Wealth L.P.			
	- Sprott Private Wealth L.P. Self-Directed Retirement Savings Plan		NBC0379	RSP 574-518
Computershare Trust Company of Canada (Toronto)				
	Stone & Co. Limited			
	- Stone & Co. Limited Self-Directed Retirement Savings Plan		NBC0513	RSP 574-580
Concentra Financial Services Association				
	Concentra Financial Services Association			
	- Concentra Financial Retirement Savings Plan		NBC0445	RSP 584-001
Concentra Trust				
	Concentra Financial Services Association			
	- Concentra Financial Self-Directed Retirement Savings Plan		NBC0462	RSP 145-005
Concentra Trust				
	Concentra Trust			
	- Atlantic Baptist Foundation Retirement Savings Plan		NBC0455	RSP 145-682
	- Credential Asset Management Multiple Fund Retirement Savings Plan		NBC0453	RSP 145-664
	- Credit Union Deposit Program Retirement Savings Plan		NBC0447	RSP 145-001
	- Credit Union Retirement Savings Plan		NBC0452	RSP 145-658
	- Crocus Investment Fund Retirement Savings Plan		NBC0451	RSP 145-646
	- GrowthWorks-Matrix Retirement Savings Plan		NBC0458	RSP 145-703
	- Ing Direct Funds Self-Directed Retirement Savings Plan		NBC0454	RSP 145-680
	- JovFunds Self-Directed Retirement Savings Plan		NBC0525	RSP 145-695
	- Northwest & Ethical Investments L.P. Retirement Savings Plan		NBC0537	RSP 145-723
	- Sterling Mutuals Inc. Self-Directed Retirement Savings Plan		NBC0571	RSP 145-690



Office of the Superintendent of Pensions

Superintendent's List for Locked-In Retirement Account (LIRA)

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Institution	Broker	Contract Name	NB Number	CCRA Number
Co-operators Life Insurance Company				
	Co-operators Life Insurance Company			
	- Co-operators Life Ins. Co. Deferred Annuity Contract		NBC0292	RSP 144-007
	- Co-operators Life Ins. Co. Group RSP		NBC0293	RSP 144-008
	- Co-operators Life Ins. Co. Restricted Contribution Group RSP		NBC0294	RSP 144-010
	- Versatile Asset		NBC0196	RSP 144-013
	- Versatile Asset II		NBC0295	RSP 144-014
	- Versatile Asset III		NBC0389	RSP 144-016
	- Versatile Portfolios		NBC0400	RSP 144-018
CUMIS Life Insurance Company				
	CUMIS Life Insurance Company			
	- Locked-in Retirement Account, Group RSP		NBC0112	RSP 149-005
Desjardins Financial Security				
	Desjardins Financial Security			
	- Desjardins Financial Security Guaranteed Investment Funds Plan - Helios RSP		NBC0523	RSP 111-112
	- Placement flexible-CRI		NBC0050	
Desjardins Financial Security Life Assurance Company				
	Desjardins Financial Security Life Assurance Company			
	- Locked-in Retirement Account		NBC0023	RSP 111-043
	- National Savings Contract		NBC0165	RSP 111-072
Desjardins Trust Inc.				
	Acadia Financial Services Inc.			
	- Acadia Financial Services LIRA		NBC0425	RSP 168-116
Desjardins Trust Inc.				
	Acadia Investments Inc.			
	- Acadia Investment Fund LIRA		NBC0125	RSP 168-089
Desjardins Trust Inc.				
	Desjardins Financial Security Investments Inc.			
	- Desjardins Financial Security Investments Inc. RSP		NBC0505	RSP 168-134
Desjardins Trust Inc.				
	Desjardins Trust Inc.			
	- Acadia Life Locked-In Retirement Account		NBC0020	RSP 168-074



Office of the Superintendent of Pensions

Superintendent's List for Locked-In Retirement Account (LIRA)

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Institution	Broker	Contract Name	NB Number	CCRA Number
Desjardins Trust Inc.				
	Desjardins Trust Inc.			
	-	Caisses / Credit Unions RSP	NBC0512	RSP 168-136
	-	Desjardins Funds and Guaranteed Investment RSP	NBC0514	RSP 168-007
	-	Desjardins Securities Inc. Self-Directed RSP	NBC0383	RSP 168-066
	-	Letko Brosseau Inc. RSP	NBC0476	RSP 168-118
	-	Self-Managed RSP	NBC0515	RSP 168-025
Desjardins Trust Inc.				
	SFL Investments			
	-	SFL Investments RSP	NBC0518	RSP 168-137
First Calgary Financial Credit Union Ltd.				
	First Calgary Financial Credit Union Ltd.			
	-	Locked-In Retirement Savings Plan	NBC0187	RSP 519-001
Household Trust Company				
	Household Trust Company			
	-	Household Trust Locked-in Retirement Account	NBC0080	RSP 329-001
HSBC Bank Canada				
	HSBC Bank Canada			
	-	HSBC Bank Canada Retirement Savings Plan	NBC0110	RSP 337-001
HSBC Trust Company (Canada)				
	HSBC Global Asset Management (Canada) Limited			
	-	HSBC World Selection Portfolio Retirement Savings Plan	NBC0297	RSP 544-008
HSBC Trust Company (Canada)				
	HSBC Investment Funds (Canada) Inc.			
	-	HSBC Mutual Funds Retirement Savings Plan	NBC0111	RSP 544-004
HSBC Trust Company (Canada)				
	HSBC Securities (Canada) Inc.			
	-	HSBC InvestDirect Self-Directed Retirement Savings Plan	NBC0288	RSP 544-010
	-	HSBC Securities Self-Directed Retirement Savings Plan	NBC0289	RSP 544-011
HSBC Trust Company (Canada)				
	HSBC Trust Company (Canada)			
	-	HSBC Trust Company (Canada) Retirement Savings Plan	NBC0434	RSP 544-009



Office of the Superintendent of Pensions

Superintendent's List for Locked-In Retirement Account (LIRA)

Sorted by Financial Institution

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Institution	Broker	Contract Name	NB Number	CCRA Number
HSBC Trust Company (Canada)				
	HSBC Trust Company (Canada)			
	-	HSBC Trust Company Retirement Savings Plan	NBC0296	RSP 544-001
Industrial Alliance Insurance and Financial Services Inc.				
	Industrial Alliance Insurance and Financial Services Inc.			
	-	IAG Savings and Retirement Plan	NBC0577	RSP 104-042
	-	Industrial Alliance Insurance and Financial Services Inc. Group Retirement Savin	NBC0364	RSP 104-013
Industrial Alliance Pacific Insurance and Financial Services				
	Industrial Alliance Pacific Insurance and Financial Services			
	-	IAG Savings and Retirement Plan	NBC0578	RSP 230-095
Industrial Alliance Trust Inc. (IAT)				
	FundEX Investments Inc.			
	-	FundEX Investments Inc. Retirement Savings Plan	NBC0574	RSP 575-011
Industrial Alliance Trust Inc. (IAT)				
	IA Clarington Investments Inc.			
	-	IA Clarington Investments Inc. Retirement Savings Plan	NBC0472	RSP 575-005
Industrial Alliance Trust Inc. (IAT)				
	Industrial Alliance Securities Inc.			
	-	Industrial Alliance Securities Inc. Retirement Savings Plan	NBC0466	RSP 575-003
Industrial Alliance Trust Inc. (IAT)				
	Investia Financial Services Inc.			
	-	Investia Financial Services Inc. Retirement Savings Plan	NBC0538	RSP 575-007
ING Bank of Canada				
	ING Bank of Canada			
	-	ING DIRECT Retirement Savings Plan for Locked-In Pension Transfers	NBC0286	RSP 545-001
Investors Group Trust Co.				
	London Life Insurance Company			
	-	Christian Labour Association of Canada GRSP	NBC0561	RSP 193-067
	-	Envision Trust Group Retirement Savings Plan (2)	NBC0482	RSP 193-066
	-	Envision Trust Group RSP	NBC0489	RSP 193-065



Office of the Superintendent of Pensions

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Institution	Broker	Contract Name	NB Number	CCRA Number
Investors Group Trust Co. Ltd.				
	Investors Group Financial Services Inc.			
	- Investors Group Financial Services	Locked-in Retirement Account	NBC0006	RSP 193-029
Knights of Columbus				
	Knights of Columbus			
	- Locked-in Retirement Account		NBC0109	RSP 196-005
LBC Trust				
	LBC Trust			
	- Laurentian Bank of Canada Retirement Savings Plan		NBC0481	RSP 211-002
LBC Trust				
	Return on Innovation Management Inc.			
	- Return on Innovation Fund Inc. Retirement Savings Plan		NBC0558	RSP 211-084
League Savings & Mortgage Company				
	League Savings & Mortgage Company			
	- League Savings & Mortgage	Locked-in Retirement Account	NBC0035	RSP 421-001
London Life Insurance Company				
	London Life Insurance Company			
	- Envision Broker Sponsored Retirement Savings Plan		NBC0368	RSP 202-123
	- Envision Group Retirement Savings Plan		NBC0358	RSP 202-121
	- Flexible Investment Group Retirement Savings Plan		NBC0213	RSP 202-116
	- Freedom Funds LIRA		NBC0011	RSP 202-051
	- London Life Segregated Funds RSP		NBC0543	RSP 202-127
	- Michelin North America (Canada) Inc. Group RSP		NBC0471	RSP 202-124
	- Performer and Enhanced Performer Group RSP		NBC0160	RSP 202-053
M.R.S. Trust Company (MRST)				
	M.R.S. Inc.			
	- M.R.S. Retirement Savings Plan		NBC0058	RSP 417-013
M.R.S. Trust Company (MRST)				
	M.R.S. Securities Services Inc.			
	- Retirement Savings Plan		NBC0305	RSP 417-019



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Institution	Broker	Contract Name	NB Number	CCRA Number
M.R.S. Trust Company (MRST)				
	M.R.S. Trust Company (MRST)			
		- Counsel Portfolio Services Inc. Retirement Savings Plan	NBC0498	RSP 417-024
		- M.R.S. Trust Company Self-Directed Retirement Savings Plan	NBC0194	RSP 417-008
M.R.S. Trust Company (MRST)				
	Mackenzie Financial Corporation			
		- Mackenzie Retirement Savings Plan	NBC0242	RSP 417-002
M.R.S. Trust Company (MRST)				
	Quadrus Investment Services Ltd.			
		- Quadrus Retirement Savings Plan	NBC0517	RSP 417-025
Natcan Trust Company				
	Natcan Trust Company			
		- Natcan Trust Company Locked-in Retirement Account	NBC0078	RSP 525-001
Natcan Trust Company				
	National Bank Direct Brokerage Inc.			
		- National Bank Direct Brokerage Inc. Retirement Savings Plan	NBC0404	RSP 525-026
Natcan Trust Company				
	National Bank Financial Inc.			
		- National Bank Financial Inc. Self-Directed Retirement Savings Plan	NBC0508	RSP 525-025
Natcan Trust Company				
	National Bank Financial Ltd.			
		- National Bank Financial Ltd. Self Directed Retirement Savings Plan	NBC0507	RSP 525-024
Natcan Trust Company				
	National Bank Securities Inc.			
		- National Bank Securities Inc. Registered Retirement Savings Plan	NBC0432	RSP 525-029
Natcan Trust Company				
	National Bank Trust Inc.			
		- Private Investment Management Locked-in Retirement Account	NBC0439	RSP 525-035
Natcan Trust Company				
	NBCN Inc.			
		- Natcan Trust Company Self-Directed Locked-in Retirement Savings Plan	NBC0304	RSP 525-018



Office of the Superintendent of Pensions

Superintendent's List for Locked-In Retirement Account (LIRA)

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Institution	Broker	Contract Name	NB Number	CCRA Number
National Bank of Canada				
	National Bank of Canada	- Locked-in Retirement Account	NBC0077	RSP 330-001
Peace Hills Trust				
	Peace Hills Trust	- Peace Hills Trust Retirement Savings Plan	NBC0483	RSP 424-001
Primerica Life Insurance Company of Canada				
	Primerica Life Insurance Company of Canada	- Primerica Common Sense Funds Retirement Savings Plan	NBC0271	RSP 528-001
RBC Life Insurance Company				
	RBC Life Insurance Company	- RBC Guaranteed Investment Funds	NBC0493	RSP 442-016
SSQ, Life Insurance Company Inc.				
	SSQ, Life Insurance Company Inc.	- SSQ Retirement Savings Plan	NBC0541	RSP 219-022
		- SSQ Retirement Savings Plan	NBC0536	RSP 219-014
Standard Life Trust Company				
	Standard Life Trust Company	- Standard Life Mutual Funds Ltd.	NBC0260	RSP 437-004
State Farm International Life Insurance Company Ltd.				
	State Farm International Life Insurance Company Ltd.	- Flex-1 Plus (Policies C07040 and C94040)	NBC0502	RSP 269-030
		- Flex-5 Plus (Policies C07049, C94049, C89059)	NBC0501	RSP 269-023
State Street Trust Company Canada				
	Russell Investments Canada Ltd.	- Russell Investments Retirement Savings Plan	NBC0527	RSP 565-011
State Street Trust Company Canada				
	Sentry Select Capital Corp.	- Sentry Select Retirement Savings Plan	NBC0490	RSP 565-007
State Street Trust Company Canada				
	Wellington West Asset Management Inc.	- Nxt Funds Retirement Savings Plan	NBC0542	RSP 565-012



Office of the Superintendent of Pensions

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Sun Life Assurance Company of Canada				
CI Investments Inc.				
		- SunWise Elite RSP	NBC0506	RSP 272-133
		- SunWise Essential Series Retirement Savings Plan	NBC0562	RSP 272-137
Sun Life Assurance Company of Canada				
Sun Life Assurance Company of Canada				
		- Canadian Dental Group RSP	NBC0233	RSP 272-070
		- Sun Life of Canada Specimen Group RSP	NBC0262	RSP 272-068
		- Sun Life Superflex Annuity RSP	NBC0496	RSP 272-134
		- SunSpectrum Interest Account Retirement Savings Plan	NBC0393	RSP 272-075
Sun Life Financial Trust Inc.				
Sun Life Assurance Company of Canada				
		- Sun Life Financial Trust Inc. Group RSP	NBC0309	RSP 570-004
The Bank of Nova Scotia Trust Company (Scotiabank)				
Bank of Nova Scotia and Scotia Securities Inc.				
		- Scotia Locked-in Retirement Account	NBC0019	RSP 520-005
The Bank of Nova Scotia Trust Company (Scotiabank)				
Scotia Capital Inc.				
		- Scotia Self-Directed Locked-in Retirement Account	NBC0399	RSP 520-004
The Bank of Nova Scotia Trust Company (Scotiabank)				
Scotia Private Client Group				
		- Scotia Retirement Savings Plan	NBC0348	RSP 520-014
The Canada Life Assurance Company				
The Canada Life Assurance Company				
		- Canada Life Generations	NBC0267	RSP 125-102
		- Canada Life Segregated Funds RSP	NBC0544	RSP 125-114
		- Canada Life Universal Group RSP	NBC0510	RSP 125-095
		- Comprehensive Annuity Policy	NBC0069	RSP 125-055
The Canada Trust Company				
Assante Capital Management Ltd.				
		- Assante Capital Management Ltd. Retirement Savings Plan	NBC0318	RSP 521-124



Office of the Superintendent of Pensions

Superintendent's List for Locked-In Retirement Account (LIRA)

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The Canada Trust Company	Assante Financial Management Ltd.	- Assante Financial Management Ltd. Retirement Savings Plan	NBC0319	RSP 521-126
The Canada Trust Company	BluMont Capital Corporation	- BluMont Capital Corporation Retirement Savings Plan	NBC0388	RSP 521-092
The Canada Trust Company	CI Investments Inc.	- CI Investments Insight Program Retirement Savings Plan	NBC0263	RSP 521-107
		- CI Investments Retirement Savings Plan	NBC0136	RSP 521-024
The Canada Trust Company	Dundee Securities Corporation	- DSC Self-Directed Retirement Savings Plan (Dundee Securities Corporation)	NBC0275	RSP 521-119
		- Dundee Self-Directed Retirement Savings Plan	NBC0475	RSP 521-158
		- Dundee Structured Notes Retirement Savings Plan	NBC0488	RSP 521-161
The Canada Trust Company	Fidelity Investments Canada ULC	- Fidelity Investments Canada Retirement Savings Plan	NBC0179	RSP 521-049
The Canada Trust Company	Fidelity Investments Life Insurance Company	- Fidelity Investments Life Insurance Company Group General Retirement Savings	NBC0464	RSP 521-156
The Canada Trust Company	Fidelity Retirement Services Company of Canada Limited	- Fidelity Retirement Services Company of Canada Limited Group General RSP	NBC0463	RSP 521-076
The Canada Trust Company	Global Maxfin Investments Inc.	- Global Maxfin Investments Inc. Retirement Savings Plan	NBC0520	RSP 521-163
The Canada Trust Company	Goodman & Company, Investment Counsel Ltd.	- Dynamic Mutual Funds Ltd. Locked-In Retirement Account	NBC0280	RSP 521-121



Office of the Superintendent of Pensions

Superintendent's List for Locked-In Retirement Account (LIRA)

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Institution	Broker	Contract Name	NB Number	CCRA Number
The Canada Trust Company	Manulife Asset Management Limited	- Manulife Mutual Funds Retirement Savings Plan	NBC0361	RSP 521-141
The Canada Trust Company	Manulife Bank of Canada	- Manulife Bank of Canada Retirement Savings Plan	NBC0478	RSP 521-160
The Canada Trust Company	Manulife Securities Incorporated	- Manulife Securities Incorporated Self-Directed RSP	NBC0362	RSP 521-142
The Canada Trust Company	Manulife Securities Investment Services Inc.	- Manulife Securities Investment Services Inc. Self-Directed RSP	NBC0363	RSP 521-143
The Canada Trust Company	MGI Financial Inc.	- MGI Financial Self-Directed Retirement Savings Plan	NBC0535	RSP 521-034
The Canada Trust Company	Partners in Planning Financial Services Ltd.	- PIP Self-Directed Retirement Savings Plan	NBC0568	RSP 521-165
The Canada Trust Company	TD Asset Management Inc.	- TD Future Builder Group Retirement Savings Plan	NBC0337	RSP 521-138
		- TD Mutual Funds Retirement Savings Plan	NBC0157	RSP 521-004
The Canada Trust Company	TD Waterhouse (Canada) Inc.	- TD Waterhouse Self-Directed Retirement Savings Plan	NBC0107	RSP 521-008
The Canada Trust Company	TD Waterhouse Institutional Services	- TD Waterhouse Institutional Services Self-Directed Retirement Savings Plan	NBC0385	RSP 521-075
The Canada Trust Company	TD Waterhouse Private Investment Counsel Inc.	- TD Waterhouse Private Investment Counsel Retirement Savings Plan	NBC0119	RSP 521-015



Office of the Superintendent of Pensions

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The Canada Trust Company	TD Waterhouse Private Trust	- TD Waterhouse Private Trust Retirement Savings Plan	NBC0416	RSP 521-154
The Canada Trust Company	The Canada Trust Company	- Self- Directed Retirement Savings Plan	NBC0021	
The Canada Trust Company	The Toronto-Dominion Bank	- TD Canada Trust Retirement Savings Plan	NBC0036	RSP 521-005
		- TD Employee Future Builder Group RSP	NBC0567	RSP 521-006
The Canada Trust Company	Tricycle Asset Management Corp.	- Tricycle Capital Corporation Self-Directed Retirement Savings Plan	NBC0290	RSP 521-129
The Canada Trust Company	Worldsource Financial Management Inc.	- Worldsource Financial Management Inc. Self-Directed Retirement Savings Plan	NBC0230	RSP 521-101
The Empire Life Insurance Company	The Empire Life Insurance Company	- Elite Investment Program	NBC0284	RSP 157-180
		- Elite XL Investment Program	NBC0285	RSP 157-177
		- Empire Class Segregated Finds	NBC0497	RSP 157-181
The Equitable Life Insurance Company of Canada	The Equitable Life Insurance Company of Canada	- Equitable Life Pivotal Solutions Retirement Savings Plan	NBC0492	RSP 159-024
The Great-West Life Assurance Company	Investors Group	- Flexible Accumulation Annuity Investors Group Series	NBC0257	RSP 178-054
The Great-West Life Assurance Company	The Great-West Life Assurance Company	- Flexible Accumulation Annuity	NBC0226	RSP 178-047
		- Great-West Life Segregated Fund Investors Group Series RSP	NBC0551	RSP 178-057
		- Great-West Life Segregated Funds RSP	NBC0545	RSP 178-056



Office of the Superintendent of Pensions

Superintendent's List for Locked-In Retirement Account (LIRA)

Sorted by Financial Institution

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Institution	Broker	Contract Name	NB Number	CCRA Number
The Independent Order of Foresters				
	The Independent Order of Foresters			
		- Forester Annuity Plus	NBC0102	RSP 189-002
		- Forester Growth Funds Plus	NBC0291	RSP 189-003
The Manufacturers Life Insurance Company (Manulife Financial)				
	The Manufacturers Life Insurance Company (Manulife Financial)			
		- GRO-Group Retirement Savings Plan	NBC0421	RSP 206-045
		- Manulife Guaranteed Interest Contract RSP	NBC0377	RSP 206-061
		- Manulife Guaranteed Investment Funds Select (GIF Select)	NBC0553	RSP 206-064
		- Manulife PensionBuilder Retirement Savings Plan	NBC0570	RSP 206-065
		- Maritime Life Elite Portfolio (MLEP) Locked-In Retirement Account	NBC0386	RSP 207-052
		- Maritime Life Synchrony Funds Locked-In Retirement Account	NBC0342	RSP 207-031
The Royal Trust Company				
	AEGON Fund Management			
		- imaxx Retirement Savings Plan	NBC0357	RSP 254-516
The Royal Trust Company				
	Brigata Capital Management Inc.			
		- Brigata Capital Management Inc. Retirement Savings Plan	NBC0530	RSP 254-546
The Royal Trust Company				
	Fidelity Clearing Canada ULC			
		- Fidelity Clearing Canada ULC Group Retirement Savings Plan	NBC0552	RSP 254-554
The Royal Trust Company				
	Franklin Templeton Investments Corp.			
		- Franklin Templeton Investments Retirement Savings Plan	NBC0116	RSP 254-416
The Royal Trust Company				
	Gluskin Sheff & Associates Inc.			
		- Gluskin Sheff & Associates Inc. Retirement Savings Plan	NBC0576	RSP 254-391
The Royal Trust Company				
	I.A. Michael Investment Counsel Ltd.			
		- I.A. Michael Investment Counsel Ltd. Retirement Savings Plan	NBC0575	RSP 254-489



Office of the Superintendent of Pensions

Superintendent's List for Locked-In Retirement Account (LIRA)

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Institution	Broker	Contract Name	NB Number	CCRA Number
The Royal Trust Company	Louisbourg Investments Inc.	- Louisbourg Investments Inc. Retirement Savings Plan	NBC0555	RSP 254-556
The Royal Trust Company	MacDougall, MacDougall & MacTier Inc.	- MacDougall, MacDougall & MacTier Inc. Retirement Savings Plan	NBC0564	RSP 254-403
The Royal Trust Company	Marquest Investment Counsel Inc.	- Marquest Investment Counsel Inc. Locked-In Retirement Account	NBC0245	RSP 254-417
The Royal Trust Company	MD Management Ltd.	- Canadian Medical Association Retirement Savings Plan	NBC0134	RSP 254-014
The Royal Trust Company	Phillips, Hager & North Investment Management Ltd.	- Phillips, Hager & North Investment Management Ltd. Retirement Savings Plan	NBC0556	RSP 254-559
The Royal Trust Company	RBC Dexia Investor Services Trust	- RBC Dexia Investor Services Trust Retirement Savings Plan	NBC0509	RSP 254-533
The Royal Trust Company	RBC Dominion Securities Inc.	- RBC Dominion Securities Inc. Self-Directed Retirement Savings Plan	NBC0063	RSP 254-497
The Royal Trust Company	RBC Phillips, Hager & North Investment Counsel Inc.	- RBC Phillips, Hager & North Investment Counsel Inc. RSP	NBC0560	RSP 254-548
The Royal Trust Company	Sun Life Global Investments (Canada) Inc.	- Sun Life Global Investments (Canada) Inc. Retirement Savings Plan	NBC0563	RSP 254-561
The Royal Trust Company	The Royal Trust Company	- Acuity Funds Ltd. Retirement Savings Plan	NBC0345	RSP 254-435
		- Burgundy Asset Management Retirement Savings Plan	NBC0323	RSP 254-396
		- Highstreet Asset Management Retirement Savings Plan	NBC0412	RSP 254-474



Office of the Superintendent of Pensions

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Institution	Broker	Contract Name	NB Number	CCRA Number
The Royal Trust Company				
	The Royal Trust Company			
		- Invesco Retirement Savings Plan	NBC0344	RSP 254-514
		- Mackie Research Capital Corporation Retirement Savings Plan	NBC0470	RSP 254-355
		- Matrix Funds Management Retirement Savings Plan	NBC0411	RSP 254-393
		- McLean Budden Retirement Savings Plan	NBC0347	RSP 254-128
		- MD Private Trust Company Retirement Savings Plan	NBC0325	RSP 254-511
		- Montrusco Bolton Investments Inc. Retirement Savings Plan	NBC0533	RSP 254-488
		- RBC Asset Management Inc. Retirement Savings Plan	NBC0408	RSP 254-522
		- RBC Direct Investing Inc. Retirement Savings Plan	NBC0343	RSP 254-378
		- Royal Bank of Canada	NBC0359	RSP 254-381
		- Royal Trust Personal Trust Services	NBC0572	RSP 254-007
		- SEI Investments Canada Company Group Retirement Savings Plan	NBC0418	RSP 254-457
		- SEI Investments Canada Company Retirement Savings Plan	NBC0417	RSP 254-456
		- VenGrowth Capital Partners Inc. Retirement Savings Plan	NBC0469	RSP 254-530
The Royal Trust Company				
	Value Partners Investments Inc.			
		- Value Partners Investments Inc. Retirement Savings Plan	NBC0479	RSP 254-536
The Royal Trust Company				
	Wave Cycle Mutual Funds Ltd.			
		- Wave Cycle Mutual Funds Ltd. Retirement Savings Plan	NBC0532	RSP 254-547
The Royal Trust Corporation of Canada				
	The Royal Trust Corporation of Canada			
		- Royal Trust Personal Trust Services Retirement Savings Plan	NBC0167	RSP 255-007
The Standard Life Assurance Company of Canada				
	The Standard Life Assurance Company of Canada			
		- Ideal Segregated Funds Contract - LIRA	NBC0328	RSP 267-051
		- Ideal Term Fund Policy - LIRA	NBC0423	RSP 267-052
The Standard Life Assurance Company of Canada (Standard Life Canada)				
	The Standard Life Assurance Company of Canada (Standard Life Canada)			
		- Group Retirement Solutions - association-sponsored: guaranteed & pooled funds	NBC0565	RSP 267-047
		- Group Retirement Solutions - association-sponsored: guaranteed funds	NBC0566	RSP 267-044
		- Group Retirement Solutions - employer-sponsored: guaranteed & pooled funds	NBC0127	RSP 267-046



Office of the Superintendent of Pensions

Superintendent's List for Locked-In Retirement Account (LIRA)

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Institution	Broker	Contract Name	NB Number	CCRA Number
The Standard Life Assurance Company of Canada (Standard Life Canada)				
		The Standard Life Assurance Company of Canada (Standard Life Canada)		
		- Group Retirement Solutions - employer-sponsored:guaranteed funds	NBC0126	RSP 267-042
		- Tri-Plan Group RSP	NBC0037	RSP 267-019
The Wawanesa Life Insurance Company				
		The Wawanesa Life Insurance Company		
		- Wawanesa Life Insurance Company LIRA	NBC0225	
Toronto Mutual Life Insurance Company				
		CI Investments Inc.		
		- Individual Variable Annuity Contract for C.I.Segregated Funds	NBC0202	RSP 276-008
Transamerica Life Canada				
		Transamerica Life Canada		
		- Transamerica Guaranteed Investment Funds (TGIF)	NBC0549	RSP 214-026
		- Transpac	NBC0550	RSP 214-027